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Chapman Expands Investment Management Group in New York With ETF and Fintech Leader, Kathleen Moriarty

The firm expands its investment management and fintech practice in New York by adding Kathleen Moriarty from Arnold & Porter Kaye Scholer.

NEW YORK, October 19, 2017 – Chapman and Cutler LLP announced today that Kathleen H. Moriarty joined the firm earlier this month as a partner in the New York office, adding to Chapman’s Investment Management Group.

Kathleen has extensive experience representing asset managers with the creation, structuring and development of new exchange-traded products (ETPs) and was actively involved in the development of SPDRs, the first US exchange-traded fund (ETF) as well as many other ETFs. In addition, Kathleen represents US and foreign entities that are developing businesses involved in the virtual currency and Blockchain spaces and advises funds investing in Blockchain companies and virtual currencies directly, as well as entities using the Blockchain to underpin a variety of uses.

“We are very pleased to welcome Kathleen to our firm,” said Tim Mohan, Chapman’s Chief Executive Partner. “Kathleen’s work complements Chapman’s practice and platform. Kathleen is well-known and highly regarded in the ETF industry and has become a go-to lawyer in the developing fintech sector with respect to the legal and regulatory issues surrounding technology innovation and virtual currency.”

Morrison Warren, Co-Leader of Chapman’s Investment Management Practice Group, noted “Kathleen’s deep experience in the ETF industry fits well with our growing ETF practice, particularly in the actively managed space. Kathleen’s work has been integral to the development of the ETF markets, as well as the development of new issues including the SPDR Trust, the SPDR Gold Trust, and the Hong Kong Tracker Fund. Our clients will benefit from her insight and experience.”

“I chose Chapman because of the firm’s focus on financial services and significant investment management practice,” noted Kathleen Moriarty. “Chapman’s culture and commitment to the US financial services marketplace, particularly exchange traded and private investment funds, provides an excellent platform for my practice.”

Chapman began its representation of investment companies in the 1960s with the formation of the first tax-exempt unit investment trust (UIT). Since that time, Chapman’s practice has expanded to virtually all aspects of the investment management industry, including formation, legal, regulatory, compliance, tax, operational, investment and transactional matters for registered investment companies and their independent directors, private funds and their investors, investment advisers, broker-dealers and municipal advisors. Chapman has also been at the forefront of the developing fintech sector, most notably in marketplace lending and online payments and processing.

About Chapman and Cutler LLP

The representation of financial institutions has been central to Chapman and Cutler’s practice since its founding in 1913. Starting from a base of banking and public finance, the firm and its practice areas have grown and evolved to become a sophisticated provider of legal services to banks, corporations, insurance companies, investment funds, and other participants in the financial services sector. Today, Chapman has offices in Charlotte, Chicago, New York, Salt Lake City, San Francisco, and Washington, DC, dedicated to representing financial services clients with respect to transactional, regulatory, tax, and litigation matters. For more information, please visit chapman.com.

Contact

Tim Mohan, Chief Executive Partner
312.845.2966
mohan@chapman.com

Walt Begley, Lateral Recruiting Partner
312.845.3904
begley@chapman.com