

# Chapman and Cutler LLP

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## **Chapman’s Investment Management Group Expands Further With Addition of ETF Attorney, Barry Pershkov**

*Chapman expands its investment management practice with the addition of Barry Pershkov, formerly SEC point person on ETFs.*

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WASHINGTON, DC, October 23, 2017 – Chapman and Cutler LLP announced today that Barry I. Pershkov joined the firm as a partner in Chapman’s Washington, DC office. Pershkov previously served as Senior Special Counsel at the U.S. Securities and Exchange Commission (SEC). While at the SEC, Pershkov served in the Division of Investment Management Chief Counsel’s Office where he managed exchange-traded fund (ETF)-related initiatives as chair of the SEC’s interdivisional ETF Task Force. Prior to the SEC, Pershkov was in private practice and also served in the legal department at ETF sponsor ProShares.

“We are very pleased to welcome Barry to the firm,” said Tim Mohan, Chapman’s Chief Executive Partner. “Barry’s exceptional understanding of the ETF marketplace will be of great value to our clients.”

Morrison Warren, Co-Leader of Chapman’s Investment Management Practice Group, noted “Barry’s experience complements our growing ETF practice. His deep knowledge of the regulatory landscape governing ETFs and other exchange-traded products as well as his work on the ETF sponsor side will be very beneficial to our clients and our investment management team.”

“While at the SEC, I noted Chapman’s growing presence representing sponsors of cutting edge exchange-traded products. Chapman attorneys working in the exchange-traded product space are knowledgeable and practical in their approach to issues, which appealed to me,” noted Pershkov.

Walt Begley, Chapman’s Lateral Recruiting Partner added, “Consistent with Chapman’s overall recruiting strategy, the Investment Management Group has always considered laterals that complement our practice and are a good cultural fit. As our investment management practice has grown, particularly in the ETF space, more people have become aware of the work we are doing and that has led to new opportunities for us, both from the client side and the lateral market.”

The lateral addition of Pershkov complements the earlier addition of well-known ETF attorney Kathleen Moriarty, who joined Chapman on October 3, 2017. The firm expects future growth of its investment management team.

Chapman began its representation of investment companies in the 1960s with the formation of the first tax-exempt unit investment trust (UIT). Since that time, Chapman's practice has expanded to virtually all aspects of the investment management industry, including formation, legal, regulatory, compliance, tax, operational, investment and transactional matters for registered investment companies and their independent directors, private funds and their investors, investment advisers, broker-dealers and municipal advisors. Chapman has also been at the forefront of the developing fintech sector, most notably in marketplace lending and online payments and processing.

### **About Chapman and Cutler LLP**

The representation of financial institutions has been central to Chapman and Cutler's practice since its founding in 1913. Starting from a base of banking and public finance, the firm and its practice areas have grown and evolved to become a sophisticated provider of legal services to banks, corporations, insurance companies, investment funds, and other participants in the financial services sector. Today, Chapman has offices in Charlotte, Chicago, New York, Salt Lake City, San Francisco, and Washington, DC, dedicated to representing financial services clients with respect to transactional, regulatory, tax, and litigation matters. For more information, please visit [chapman.com](http://chapman.com).

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