



Bank Regulatory Matters

With respect to the regulation of financial institutions, Chapman and Cutler provides comprehensive legal services in the following areas:

- Bank mergers and acquisitions/divestitures and the purchase and sale of portfolios, including associated regulatory applications and approvals
- Representation at federal and state banking agencies including the Federal Reserve, OCC, FDIC, and OTS
- Holding company and financial institution regulatory applications and filings for banking and non-banking activities and the formation of holding companies and chartering of regulated financial institutions
- Compliance with federal and state laws and regulations, including Bank Secrecy Act/OFAC/USA Patriot Act compliance, CRA, privacy and credit reporting, including FCRA, and fair lending, including policies and procedures, operations review, programs and compliance training, assistance with regulatory examinations, and enforcement proceedings
- Counseling on various bank issues, such as affiliate transactions, capital standards, interstate banking, deposit insurance, subsidiary activities, and insurance and securities activities
- State regulation of finance, small loan, retail installment, and mortgage companies, including licensing, examination, and compliance

Bank Regulatory Matters Record Updates

March 14, 2023

FDIC Transfers Assets and Deposits of Silicon Valley Bank to a Bridge Bank

March 13, 2023

FDIC Forms Bridge Bank to Assist in Resolution of Silicon Valley Bank

March 12, 2023

Silicon Valley Bank Receivership: Frequently Asked Questions

February 7, 2023

New York State Department of Financial Services Adopts Updated

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Areas Of Concentration

Bank Mergers and Acquisitions and Regulatory Applications
Bank Regulatory Compliance/Examination Activities
Bank Secrecy/USA Patriot Act and OFAC
Chartering of Financial Institutions
Community Reinvestment Act Compliance
Disclosure Requirements (Federal/State)
Fair Lending and Equal Credit Opportunity
General Compliance Activities
Non-Banking Activity Approvals
Portfolio Acquisitions and Sales
Privacy and Fair Credit Reporting

Regulations for Disclosure Requirements for Commercial Financings

State Licensing, Regulation
and Examination

Related Practices

Bank Defense

Banking and Financial
Services