



Industry Advocacy and Regulatory Counsel

Chapman and Cutler attorneys are leading market-wide industry group initiatives addressing the most extensive regulatory reforms ever to impact the structured finance market. We regularly partner with our clients and leading industry associations to address the issues that impact the financial market in the U.S. and abroad.

Chapman attorneys regularly serve as outside counsel for trade associations and industry groups and have long played a leadership role in industry advocacy. Our attorneys have acted as outside counsel to securitization industry groups in connection with numerous significant industry advocacy initiatives, including as authors of letters on behalf of those groups addressing risk retention, Regulation AB, Regulation AB II, the Volcker Rule, Dodd-Frank Act conflicts of interest, regulatory capital, liquidity coverage ratio, net stable funding ratio, FDIC assessments, large bank exposure rules, Rule 2a-7, swaps clearing and margin, and other regulatory matters. Our attorneys have also participated as authors of, and contributors to, markets guides on recently-adopted regulations, including Rule 17g-5, Rule 15Ga-1 and the U.S. market risk capital rules.

We help financial institutions, finance companies, funds, and investors conduct their businesses and design financial products that comply with the broad spectrum of governmental regulations that affect them, including securities and banking laws, ERISA, tax and others. As a result of our representation of financial market participants and industry associations, we have developed a strong reputation with regulatory agencies, enabling us to effectively advocate for our clients and to obtain reliable guidance on a range of implementation and interpretive issues arising under existing and new regulatory regimes.

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Related Practices

Asset Securitization