



State Licensing, Regulation and Examination

In addition to banks and other financial institutions, Chapman and Cutler attorneys assist commercial lenders, consumer lenders, trust companies, retailers, and non-bank entities in obtaining required state licenses in order to conduct their businesses, and we have developed policies, procedures, programs, documents, and forms to assist them in complying with federal and state law requirements and regulations.

We also assist finance companies, retailers, and other non-financial institution lenders in state regulatory and examination matters.

The firm has helped commercial finance companies obtain licenses where required for commercial lending and leasing. We also assist entities in obtaining retail installment, small loan, mortgage banker, and mortgage broker licenses.

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Related Practices

Bank Mergers and Acquisitions and Regulatory Applications
Bank Regulatory Compliance/ Examination Activities
Bank Regulatory Matters
Bank Secrecy/USA Patriot Act and OFAC
Banking and Financial Services
Chartering of Financial Institutions
Community Reinvestment Act Compliance
Disclosure Requirements (Federal/State)
Fair Lending and Equal Credit Opportunity
General Compliance Activities
Non-Banking Activity Approvals
Portfolio Acquisitions and Sales
Privacy and Fair Credit Reporting