

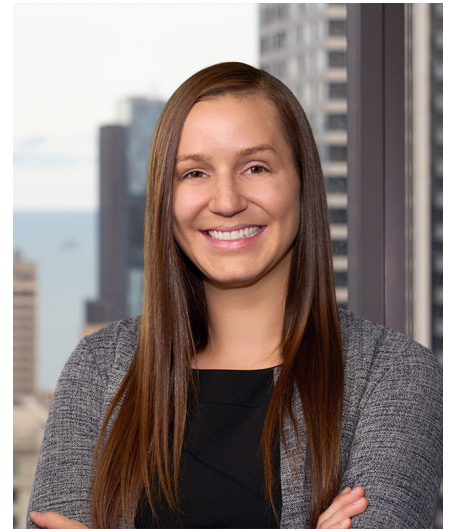
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Kate Poorbaugh is an associate in Chapman's Corporate and Securities Department and Investment Management Group. She works with clients on a variety of corporate and securities matters, including the formation and offering of investment companies, and matters involving investment advisers, broker-dealers, and municipal securities dealers. Kate participated in the firm's summer associate program in 2014 and joined the firm in 2015.

Publications

- Co-Author, "MSRB Publishes Its 2017 Compliance Advisory for Broker-Dealers," *Journal of Investment Compliance*, Vol. 19, No. 1, 2018, pp. 50-52, doi: 10.1108/JOIC-02-2018-0.
- Co-Author, "FINRA Releases Guidance on Social Media and Digital Communications," *Journal of Investment Compliance*, Vol. 18, No. 3, 2017, doi: 10.1108/JOIC-06-2017-0030.
- Co-Author, "The SEC Provides New Custody Rule Guidance to Investment Advisers," *Journal of Investment Compliance*, Vol. 18, No. 2, 2017, pp. 9-12, doi: 10.1108/JOIC-04-2017-0021.
- Co-Author, "MSRB Publishes Its First Compliance Advisory for Broker-Dealers," *Journal of Investment Compliance*, Vol. 17, No. 3, 2016, pp. 49-51, doi: 10.1108/JOIC-07-2016-0026.
- "Security Protocol: A Procedural Analysis of the Foreign Intelligence Surveillance Courts," 2015 U. Ill. L. Rev. 1363.
- Co-Author, "What's the Matter with Retirement Savers?," 47 Conn. L. Rev. 1281 (2015).

Admitted

Illinois, 2015

Education

University of Illinois College of Law, J.D., *magna cum laude*, 2015

- Development Editor, *University of Illinois Law Review*

University of Illinois at Urbana-Champaign, B.S., Accountancy, *Highest Honors*, 2012

Practice Focus

Broker-Dealers

Corporate Finance and Securities

Investment Advisers

Investment Management

Registered Investment Companies