

Kathryn Maass

ASSOCIATE

Chicago

312.845.2984

maass@chapman.com



Kathryn Maass is an associate and member of Chapman's Corporate and Securities Department, Investment Management Group, and Private Funds Group. Her practice is focused on the formation and operation of unit investment trusts, open-end funds, exchange-traded funds (ETFs), and closed-end funds. She also advises broker-dealers and investment advisers on a variety of compliance and risk management matters.

With respect to private funds, Kathryn represents institutional investors and sponsors of private investment funds, private equity and hedge funds, and other investment vehicles. She also works with private fund clients on compliance issues and fund formation.

Presentations

- Presenter, "SEC Proposes Amendments to Exemptive Application Procedures," Chicago Bar Association, Investment Management Subcommittee Meeting, October 29, 2019
- Presenter, "SEC Adopts Rule 163B Expanding 'Test-the-Waters' Communications," Chicago Bar Association, Investment Management Subcommittee Meeting, October 29, 2019

Admitted

Illinois

Education

Boston College Law School,
J.D., *cum laude*, 2019

- Staff Writer, *Boston College Law Review*

Michigan State University,
B.A., Social Relations and
Policy, *with honors*, 2016

Practice Focus

Broker-Dealers

Corporate Finance and
Securities

Cryptocurrency, Digital
Assets, and Blockchain

Investment Advisers

Investment Management

Private Funds: Investor
Representation

Registered Investment
Companies