

Matthew D. Stuart

ASSOCIATE

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Matt Stuart is an associate in Chapman's Corporate and Securities Department and a member of the firm's Investment Management Group. His practice is focused on the representation of investment advisers and registered investment companies in fund formation, regulatory compliance, and risk management matters involving open-end funds, closed-end funds, exchange-traded funds, unit investment trusts, and private investment companies.

Matt participated in Chapman's summer associate program in 2017 and joined the firm in 2018.

Prior to joining Chapman, Matt worked as a Public Interest Law Initiative (PILI) Graduate Fellow at the Land of Lincoln Legal Assistance Foundation. While in law school, he served as an extern to Judge John Robert Blakey of the United States District Court for the Northern District of Illinois and worked as a student clinician for the University of Illinois College of Law's Community Preservation Clinic.

Presentations

- Presenter, "Discontinuation of LIBOR and Its Impact on Market Participants," Chicago Bar Association, Investment Management Subcommittee Meeting, October 29, 2019.
- Presenter, "SEC Outlines Compliance Issues with Investment Adviser Principal and Agency Cross Trading," Chicago Bar Association, Investment Management Subcommittee Meeting, October 29, 2019.
- Presenter, "State of Regulation Best Interest and Update on Department of Labor and State Fiduciary Rule Proposals," Chicago Bar Association, Investment Management Subcommittee Meeting, April 30, 2019.

Admitted

Illinois

Education

University of Illinois College of Law, J.D., *cum laude*, 2018

- Administrative Editor, University of Illinois Law Review
- Dean's List, 2017

University of Illinois at Urbana-Champaign, B.A., Economics, 2015

Practice Focus

Broker-Dealers

Corporate Finance and Securities

Investment Advisers

Investment Management

Registered Investment Companies

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Presenter, "SEC Outlines Common Deficiencies with Investment Advisers' Best Execution Obligations," Chicago Bar Association, Investment Management Subcommittee Meeting, October 30, 2018.

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