

# Suzanne M. Russell

## PARTNER

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Suzanne Russell is a partner in the firm's Corporate and Securities Department. Suzanne concentrates in securities law matters with an emphasis on investment companies, including open-end funds, exchange-traded funds, closed-end funds and unit investment trusts. Her experience includes, among other things, preparing various types of disclosure materials, applications for exemptions from provisions of applicable federal securities laws, and no-action requests. In addition, she has extensive experience in structuring, documenting and evaluating financial products, and has represented both sponsors of and investors in numerous tax-exempt asset securitization programs.

## Publications

- Co-author, *Secondary Market Tax-Exempt Asset Securitization for Sponsors, Investors, Other Market Participants and Their Counsel*, American Bar Association, 2005
- Co-author, "Fund Investments in Asset-Backed Securities and Tax-Exempt Bonds: An Update," *The Review of Securities & Commodities Regulation*, May 1998
- Co-author, "New SEC Rules Affecting Investments by Tax-Exempt Funds," *The Review of Securities & Commodities Regulation*, February 1997
- Co-author, "New SEC Rules Affecting Investments by Tax-Exempt Funds, Parts I and II," *Public Finance Advisor*, July and August 1996
- Co-author, "Federal Income Tax Considerations for Tax-Exempt Derivatives," *Tax-Exempt Derivatives: A Guide to Legal Considerations for Lawyers, Finance Professionals, and Municipal Issuers*, American Bar Association, 1994

## Admitted

Illinois, 1990

## Education

Loyola University Chicago  
School of Law, LL.M., Health  
Law, 2003

University of Notre Dame  
Law School, J.D., 1990

University of Notre Dame,  
M.B.A., with high honors, 1987

College of the Holy Cross,  
B.A., Mathematics, 1984

## Practice Focus

Broker-Dealers

Corporate Finance and  
Securities

Investment Advisers

Investment Management

Registered Investment  
Companies

- Co-author, "Final Swap Regulations Leave Embedded Loan Concerns Unanswered," *Journal of Taxation*, April 1994
- Co-author, "Tax Considerations for Mutual Funds Investing in Asset-Backed and Derivative Securities," *Taxes*, January 1993
- Co-author, "Report on Federal Income Tax Issues under Subchapter M of the Internal Revenue Code Relating to the Qualification of TaxExempt Synthetic Debt Held by Money Market Mutual Funds," *Regulated Investment Companies Committee, Section of Taxation, American Bar Association*, November 1992